

Diastereoselective [2 + 2] Cycloaddition of Dichloroketene with α -Oxyaldehydes and α -Amino Aldehydes

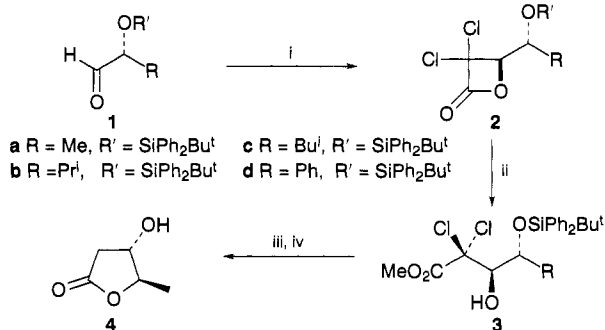
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A new route to natural products containing 1,2-diol and 1,2-amino alcohol subunits based on the [2 + 2] cycloaddition of dichloroketene to α -oxyaldehydes and α -amino aldehydes is demonstrated.

The cycloaddition of ketenes, particularly halogenated ketenes,¹ with carbonyl compounds is a powerful approach to β -lactones.² These small ring systems are of particular significance both because of their intrinsic reactivity, which has been utilized in the synthesis of more complex organic compounds,² polymers³ and amino acids,⁴ and because of their occurrence in nature.⁵ However, despite this no satisfactory asymmetric induction in ketene–aldehyde cycloadditions has been achieved to date.⁶ The only reported investigations on this topic dealt with the use of chiral Lewis acids as activators for the reaction,⁷ and unfortunately the enantioselectivities for these systems were not high. On the other hand, there is considerable interest in reactions of α -oxyaldehydes⁸ and α -amino aldehydes⁹ as a means by which 1,2-diol and 1,2-amino alcohol functionalities can be constructed with high stereoselectivity. However, despite its great synthetic potential the [2 + 2] cycloaddition between ketenes and these aldehydes has remained unexplored in comparison to the [4 + 2] cycloaddition which has been investigated intensively.¹⁰

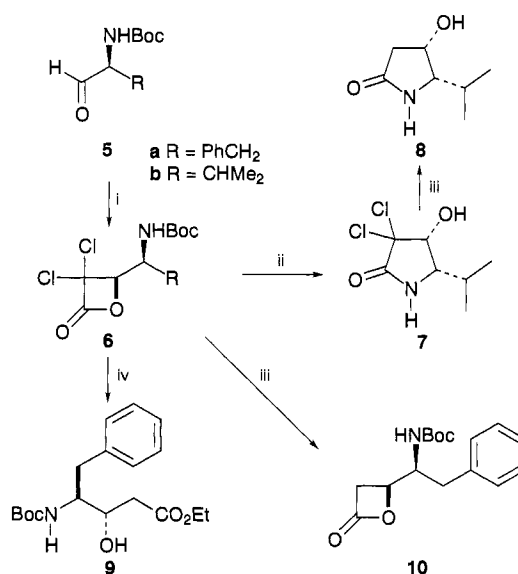
The first aim of our investigation was, therefore, to provide insight into the chemical and stereochemical behaviour of α -oxyaldehydes **1**, as representative substrates, in [2 + 2] cycloadditions. For example, slow addition of trichloroacetyl chloride to a mixture of the *O*-*tert*-butyldiphenylsilyllactaldehyde **1a** and Zn/Cu¹¹ in diethyl ether afforded after aqueous workup an oil which on purification by silica gel flash chromatography led to the β -lactone **2a** in 85% yield (Scheme 1). Examination of the crude product by ¹H NMR (300 MHz) indicated that only one diastereoisomer had been formed. Next, α -silyloxyaldehydes **1b**, **1c** and **1d** were subjected to cycloaddition with dichloroketene under the same conditions as above. In every case, a single diastereoisomer was detected in the ¹H NMR spectra of the respective crude products, and the chemical yields were uniformly good after purification by column chromatography.† To establish the stereochemical course of these cycloadditions, the β -lactone **2a** was transformed into the known γ -lactone **4**.¹² Treatment of **2a** with trimethylchlorosilane in methanol afforded the methyl ester **3** {[α]_D²⁵ = -16.8 (*c* = 1.54, CH₂Cl₂)}, which on treatment with H₂ and 10% Pd/C in the presence of triethylamine gave the corresponding β -hydroxy- γ -silyloxy ester. *O*-Silyl deprotection accompanied by spontaneous lactonization



Scheme 1 Reagents and conditions: i, Cl₃CCOCl, Zn/Cu, Et₂O, 0 °C, 2 h; ii, ClSiMe₃, MeOH, room temp.; iii, H₂, Pd/C, EtOAc, NEt₃, 25 °C, 24 h; iv, Bu₄NF, CH₂Cl₂, room temp.

gave the γ -lactone **4**, obtained in 76% overall yield, which showed spectral data consistent with the known compound **4** {[α]_D²⁵ = +10.8 (*c* = 1.25, CHCl₃); Lit.¹² [α]_D²⁵ = +10.9 (*c* = 1.27, CHCl₃)}, thus confirming the assigned stereochemistry for the adducts.

In view of the above results, we next examined the reaction of dichloroketene with the *N*-Boc- α -amino-aldehydes **5a** and **5b** to establish the potential scope of the methodology (Scheme 2). For instance, the reaction of dichloroacetyl chloride and triethylamine with **5a** in dichloromethane at -78 °C to room temp. overnight afforded an oil which on purification by silica gel flash chromatography led to the crystalline β -lactone **6a** in 44% yield {mp 124–125 °C, [α]_D²⁵ = +32.3 (*c* = 1.0, CH₂Cl₂)}. The ¹H NMR (300 MHz) spectrum of the crude product indicated the exclusive formation of one diastereoisomer. As in the earlier cases, this cycloadduct could also be transformed into the γ -lactam **7a** {85%, mp 119–120 °C, [α]_D²⁵ = -17.2 (*c* = 0.8, EtOH)} by simple treatment of **6a** with ClSiMe₃ in methanol. In a similar way, reaction of dichloroketene, generated from trichloroacetyl chloride and Zn/Cu, with **5b** followed by intramolecular cyclization as above afforded the γ -lactam **7b** in 35% overall yield {mp 186–187 °C, [α]_D²⁵ = -30.8 (*c* = 0.6, EtOH)}. The absolute stereochemistry of the products was unambiguously determined either by conversion of **7b** into the known compound **8**,¹³ or by the synthesis of (3*S*,4*S*)-4-amino-3-hydroxy-5-phenyl pentanoic acid ethyl ester **9**,¹⁴ the key component of the HIV protease inhibitor AHPPA.¹⁵ The latter was easily accomplished by simple exposure of **6a** to hydrogenolysis in EtOH for 20 h to furnish **9** in 81% isolated yield.‡ When dehalogenation of **6a** was conducted under non-solvolytic conditions the β -lactone ring was preserved intact giving **10** {mp 114–116 °C, [α]_D²⁵ = -9.4 (*c* = 0.56, CH₂Cl₂)} as a white crystalline compound in almost quantitative yield.



Scheme 2 Reagents and conditions: i, Cl₂CHCOCl (4 equiv.), NEt₃ (8 equiv.), CH₂Cl₂, -78 °C → 25 °C, 6 h or Cl₃CCOCl, Zn/Cu, Et₂O, 0 °C, 2 h; ii, ClSiMe₃, MeOH, 25 °C, 6 h; iii, H₂, Pd/C, NEt₃, EtOAc, 25 °C; iv, H₂, Pd/C, NEt₃, EtOH, 25 °C, 24 h

In summary, we have demonstrated for the first time that 1,2-diol and 1,2-amino alcohol subunits can be constructed with predictable stereochemistry *via* [2 + 2] cycloadditions between dichloroketene and both α -oxyaldehydes and α -amino aldehydes. Consequently, a new methodology for creation of 1,2-difunctionality is provided¹⁶ which should be readily applicable to diverse targets of biological and pharmacological interest.

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Footnotes

† All β -lactone products were stable under chromatographic purification. The only exception was **2d** which was directly transformed into **3d**. Structure and configuration of the compounds prepared have been confirmed by spectroscopic data (IR, NMR and MS). **2a**: 85%, $[\alpha]_D^{25} = +26.2$ ($c = 1.0$, CH_2Cl_2); **2b**: 65%, $[\alpha]_D^{25} = +21.1$ ($c = 1.0$, CH_2Cl_2); **2c**: 55%, $[\alpha]_D^{25} = +17.7$ ($c = 1.0$, CH_2Cl_2).

‡ The γ -lactam **8** {mp 128–129 °C, $[\alpha]_D^{25} = -12.2$ ($c = 1.0$, CH_2Cl_2); Lit.¹³ $[\alpha]_D^{25} = -12.0$ ($c = 0.45$, CH_2Cl_2)} and the β -hydroxy ester **9** {mp 86.88 °C, $[\alpha]_D^{25} = -32.5$ ($c = 0.8$, MeOH); Lit.¹⁴ $[\alpha]_D^{26} = -36.9$ ($c = 1.0$, MeOH)} were transformed into the corresponding Mosher esters to prove their enantiomeric purity. In both cases a single set of signals in their respective ¹H and ¹⁹F NMR spectra were detected.

§ Although the precise knowledge of the origin of asymmetric induction requires further study, analysis of the Cram's rule formulation *via* the Felkin–Anh model may account for the results obtained with α -oxyaldehydes whilst in the case of α -amino aldehydes the stereoselectivity observed can be attributed to the prior formation of a five-membered chelate and/or an assisted hydrogen bonding model between the 2-amino group and the carbonyl oxygen function. For a recent review on Cram's rule formulation see: J. Mulzer in ref. 8(c), p. 3.

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